Sliding Mode Based Position and Attitude Controller for a Vectored Thrust Aerial Vehicle

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Abstract
This paper presents robust control of a Vectored Thrust Aerial Vehicle (VTAV) based on Sliding Mode Control (SMC) methodology. The VTAV is designed to be able to translate with zero roll and pitch angles so that a set of sensor suite can effectively be used for terrain mapping. Furthermore, The three thrust inputs and two thrust vectoring inputs provide more maneuverability compared to the popular quadrotor platform. First the non-linear dynamic model of the VTAV is presented. Then, contrary to existing work, the nonlinear dynamic model of the VTAV is used without any linearization process to implement a Sliding Mode based controller by introducing a set of virtual control inputs which effectively decouples the nonlinear dynamic equations of the VTAV. By solving the virtual inputs simultaneously, the real control inputs are found. Simulation results are presented to demonstrate the successful operation of the designed control system.

Keywords: Vectored thrust aerial vehicles, Sliding mode control, Nonlinear dynamic model, Zero roll pitch flight, Unmanned Aerial Vehicle

1 Introduction
Autonomous Control of Unmanned Aerial Vehicles (UAV) has become a topic of growing interest among researchers owing to their wide range of civil and military applications. Unmanned Miniature Aerial Vehicles are currently used in applications such as surveillance, traffic monitoring, object tracking and entertainment industry as well as various other military applications [Naldi et al., 2010][Bertrand et al., 2011]. The Vertical Take Off and Landing (VTOL) capability of UAVs has further enhanced their use in congested environment where the UAV has limited space for take-off and landing [Johnson and Turbe, 2006][Pflimlin et al., 2010]. Since the introduction of the Hiller platform VZ-1 in 1950s [Pflimlin et al., 2010], there have been various platforms of ducted fan UAVs, each having its own pros and cons depending on the number of ducted fans, number of control inputs, physical layout etc. In contrast to those UAV designs, the work presented in this paper focuses on a novel platform with ducted fan vectoring capability, as the test bed [Kumon et al., 2010][Yuan et al., 2012][Yuan and Katupitiya, 2013] for the proposed controller.

The UAV design used in this paper - the Vectored Thrust Aerial Vehicle (VTAV) - consists of three ducted fans as shown in Figure 1. The rear two ducted fans can be vectored around an axis common to both ducted fans-the axis S2S3- as illustrated in Figure 2. The vectoring capability of this vehicle not only allows it to translate in space with minimal roll and pitch, but also increases the number of available control inputs which enhances the resilience against external disturbances. However, this vectoring capability itself leads to complex nonlinear vehicle dynamics which require sophisticated control methodologies for autonomous operation.

Both linear and nonlinear control methodologies
have been proposed for different UAV platforms with the aim of implementing robust, reliable autopilots with fast maneuverability [Zhang et al., 2010][Naldi et al., 2008][Yu et al., 2010][Wang et al., 2007]. However, compared to nonlinear controllers, linear controllers based on the linearized hover models have limited capability of stabilizing the plant when subjected to unpredictable disturbances in the environment such as wind gusts, dynamic coupling of states/inputs and model uncertainties [Derafa et al., 2012]. Hence, such controllers are limited to operations around the hover condition. These limitations call for robust nonlinear control methodologies where the model uncertainties and system disturbances do not affect the operation of the controller adversely.

The Sliding Mode Control (SMC) methodology has proved to be a promising robust and reliable control method which is applicable to systems with uncertainties and external disturbances [Utkin, 1977] [Slotine and Li, 1991]. The SMC methodology is capable of driving the states of a given plant to reach a set of predefined sliding manifolds within a finite time, ensuring that the system is insensitive to parametric uncertainties and external disturbances during the convergence phase. This fact has recently motivated researchers to apply SMCs in the area of UAVs for the following reasons.

- Dynamic equations of the UAVs are often nonlinear and complex, and may contain parametric uncertainties and unmodelled dynamics.
- External disturbances such as sudden wind gusts affect the operation of UAV systems adversely and therefore the controller developed must be robust to such conditions.

The applicability of SMC on UAVs is explored in [Derafa et al., 2012],[Hess and Wells, 2003],[Hess and Bakhtiari-Nejad, 2008],[Khelfi and Kacimi, 2012] and [Guruganesh et al., 2012]. In [Derafa et al., 2012] and [Hess and Wells, 2003] a SMC based approach was followed to develop an attitude stabilizer for the UAV, which assists the human pilot. In [Khelfi and Kacimi, 2012] and [Guruganesh et al., 2012] SMC based attitude and position controllers were developed for quadrotors. In most of the literature, the UAV system under consideration is linearized under different conditions with the aim of simplifying the control design through direct state-space approaches.

Considering all these facts the aim of this paper is to directly utilize the nonlinear dynamics of the VTAV in implementing a Sliding Mode based controller. The main contributions of this paper can be identified as follows.

- Developing a nonlinear robust controller which has the ability to control the VTAV over a wider range of operating conditions, in contrast to [Kumon et al., 2010] and [Yuan and Katupitiya, 2013] in the presence of external disturbances and model uncertainties.
- One of the main advantages of the VTAV is its ability to fly with zero roll and pitch. However, the vehicle is underactuated in the lateral, $y_b$, direction (see Figure 2). In order to avoid undesirable drift in $y_b$ direction due to wind gusts, a control topology is proposed such that the vehicle creates a non zero roll motion to avoid such drifts, only when wind gusts are present in $y_b$ direction.
- A detailed analysis of the vehicle performance under the effects of wind gusts, parametric uncertainties and unmodelled dynamics is presented in order to exemplify the robust nature of the controller.

The work presented in this paper is the first implementation of a nonlinear control methodology on the VTAV. Furthermore, the boundary layer approach is utilized to avoid chattering caused by excitation of actuator dynamics [Utkin et al., 2009]. Simulations carried out show that the proposed controller performs well under external disturbances and parametric uncertainties.

The paper is organized as follows. In Section 2 the dynamic model of the VTAV is derived based on Newton-Euler equations. The implementation of the sliding mode controller for the VTAV is described in Section 3. The simulation results are presented in Section 4. Section 5 concludes the paper.

2 Dynamic Model of the VTAV

![Figure 2: VTAV model and coordinate frames layout](image)

The dynamic model of the VTAV were presented in [Yuan et al., 2012] [Yuan and Katupitiya, 2013]. However, a brief description of the VTAV model is presented here for the clarity of the proposed controller. Contrary to the dynamic model presented in [Yuan
and Katupitiya, 2013] the model presented here considers the aerodynamic drag of the VTAV body as well in designing the controller.

The VTAV undergoes various types of forces and moments during flight. In order to analyse all these forces and moments with respect to a common coordinate system the following three coordinate systems are introduced.

The global coordinate frame, denoted by $I$ contains the vector basis $[x_I\ y_I\ z_I]$. Coordinate frame $B$ is attached to the VTAV body where the centre of gravity, $O$, is the origin of the vector basis $[x_B\ y_B\ z_B]$. Coordinate frame $D$ with the vector basis $[x_D\ y_D\ z_D]$ is associated with each of the rear ducted fans of the VTAV. The orientations of the three coordinate frames are illustrated in Figure 2 and Figure 3. Rotational matrices $R$ and $R_d$, based on Euler angles $\phi$, $\theta$ and $\psi$, are introduced to transform the forces and moments from one frame to another. The Euler angles $\phi$, $\theta$ and $\psi$ represent VTAV’s roll, pitch and yaw angles, respectively. The rotational matrix $R$ represented by,

$$R = \begin{pmatrix}
c_{\phi}\sigma_{\theta}\sigma_{\psi} & s_{\theta}\sigma_{\psi} & s_{\phi}s_{\theta}\sigma_{\psi} - c_{\phi}s_{\theta}\sigma_{\psi} & s_{\phi}s_{\theta}s_{\psi} + c_{\phi}s_{\theta}s_{\psi} \\
c_{\phi}\sigma_{\theta}\cos_{\psi} & c_{\phi}\cos_{\psi} & -s_{\phi}c_{\theta}c_{\psi} - c_{\phi}s_{\theta}c_{\psi} & c_{\phi}s_{\theta}c_{\psi} - s_{\phi}s_{\theta}c_{\psi} \\
-c_{\phi}s_{\theta}\cos_{\psi} & c_{\phi}s_{\theta}\cos_{\psi} & c_{\phi}c_{\theta}c_{\psi} + s_{\phi}s_{\theta}c_{\psi} & c_{\phi}c_{\theta}s_{\psi} - s_{\phi}s_{\theta}s_{\psi} \\
-s_{\phi}s_{\theta}\sigma_{\psi} & s_{\phi}s_{\theta}\sigma_{\psi} & c_{\phi}c_{\theta}s_{\psi} + s_{\phi}s_{\theta}c_{\psi} & c_{\phi}s_{\theta}s_{\psi} - s_{\phi}c_{\theta}s_{\psi}
\end{pmatrix},$$

transforms forces and moments from coordinate frame $B$ to $I$. Similarly the rotational matrix from $B$ to $D$ can be described as,

$$R_d = \begin{pmatrix}
c_{\theta_d} & 0 & -s_{\theta_d} \\
0 & 1 & 0 \\
s_{\theta_d} & 0 & c_{\theta_d}
\end{pmatrix}$$

where $\theta_d$ is the vectoring angle as shown in Figure 3. Note that letters $c$ and $s$ represent cosine and sine functions, respectively.

As the VTAV is a rigid body, the Newton-Euler equations are used to derive the dynamic model of the vehicle represented by,

$$F_b = m\ddot{v}_b + m(\omega_b \times v_b),$$

$$M_b = J_b\ddot{\omega}_b + (\omega_b \times J_b\omega_b).$$

Here, $m$ is the mass of the vehicle and $J_b = \text{diag}(I_{xx}, I_{yy}, I_{zz})$ is the moment of the inertia of the VTAV. The terms $F_b$ and $M_b$ denote the cumulative forces and moments acting on the VTAV with respect to the body fixed coordinate frame. The terms $v_b = [v_{x_b} v_{y_b} v_{z_b}]^T$ and $\omega_b = [\omega_{x_b} \omega_{y_b} \omega_{z_b}]^T$ represent the body linear and angular velocities, respectively.

### 2.1 Forces Acting on the VTAV

#### Gravity

The gravitational acceleration in global coordinate frame is, $G_i = [0\ 0\ g]^T$. Therefore the gravitational force acting on the body coordinate frame can be denoted as,

$$G_b = mR^T G_i.$$  \hspace{1cm} (5)

#### Thrust Force

The thrust generated by each of the ducted fans can be approximated as,

$$T = C_t\omega_f^2,$$ \hspace{1cm} (6)

where $C_t$ is the thrust coefficient and $\omega_f$ is the propeller angular speed. Therefore, the thrust force generated by a single ducted fan on the VTAV body can be derived as,

$$F_{Tb} = R^T_d \begin{bmatrix}
0 \\
0 \\
-T
\end{bmatrix}. $$ \hspace{1cm} (7)

#### Ram Drag

Ram drag occurs due to the relative airflow with respect to the duct lip, mainly caused by sudden wind gusts and linear velocities of the UAV. Generally, the ram drag force acts perpendicular to the duct axis, $z_d$, and can be modeled as,

$$D = C_d\omega_f \begin{bmatrix}
w_{x_d} \\
w_{y_d} \\
0
\end{bmatrix}. $$ \hspace{1cm} (8)

Where, $C_d$ is the drag coefficient of the duct. The terms $w_{x_d}$ and $w_{y_d}$ stand for the relative air velocities along $x_d$ and $y_d$ directions. Therefore, the ram drag force acting upon the body of the vehicle would be,

$$F_{Db} = R^T_d \begin{bmatrix}
C_d\omega_f w_{x_d} \\
C_d\omega_f w_{y_d} \\
0
\end{bmatrix}. $$ \hspace{1cm} (9)
Aerodynamic Drag

Aerodynamic drag occurs as a result of external forces such as wind gusts acting on the body of the vehicle. The aerodynamic drag force acting upon the VTAV such as wind gusts acting on the body of the vehicle.

The body is modelled by the following equation,

\[ F_{Ab} = c_d R^T \begin{bmatrix} w_{x_i} \\ w_{y_i} \\ w_{z_i} \end{bmatrix} \] (10)

where \( c_d = \text{diag}(A_{x_k}, A_{y_k}, A_{z_k}) \) contains the aerodynamic drag coefficients of the vehicle along each axis and \([w_{x_i}, w_{y_i}, w_{z_i}]^T\) represent the relative air velocities in the global coordinate frame.

2.2 Moments acting on the VTAV

Gyroscopic Moments

The vectoring operation of the rear duct fans of the VTAV creates gyroscopic moments which affects the system adversely. The gyroscopic moment which occur due to the vectoring of a single ducted fan can be modeled as,

\[ M_{Gb} = R_d^T I_d \omega f \begin{bmatrix} -\omega_v \\ 0 \\ 0 \end{bmatrix} \] (11)

where, \( \omega_v \) is the vectoring angular rate and \( I_d = \text{diag}(I_{x_d}, I_{y_d}, I_{z_d}) \) is the inertia matrix of the propeller. Furthermore, the gyroscopic moments generated due to the pitch and roll movements of the entire vehicle body can be represented as,

\[ M_{Gb} = R_d^T I_d \omega f \begin{bmatrix} -\omega_{y_b} \\ -\omega_{z_b} \\ 0 \end{bmatrix} \] (12)

where, \( \omega_{y_b} \) and \( \omega_{z_b} \) are the VTAV’s pitch and roll angular rates, respectively. Note that the vehicle’s yaw rate does not contribute to any gyroscopic moment.

Moments due to Thrust and Drag forces

Both the thrust and drag forces create moments around the vehicle centre of gravity, \( O \). These moments can be represented as,

\[ M_{Tb} = \vec{O} \times F_{Tb}, \] (13)

\[ M_{Db} = \vec{A} \times F_{Db}, \] (14)

where \( P \) is the centre of the propeller plane and \( A \) is the centre of the duct lip plane as illustrated in Figure 2. Note that the aerodynamic drag forces act on the vehicle’s center of gravity, hence does not create any moment on the body fixed frame.

The VTAV is made up of three ducted fans, with the ability to vector the rear two fans. Let subscripts 1, 2 and 3 represent the front, right and left ducted fans, respectively (see Figure 2). Utilizing the derived equations above, the total force and the moment acting on the VTAV body frame can be represented as,

\[ F_b = \sum_{j=1}^{3} (F_{Tb_j} + F_{Db_j}) + G_b + F_{Ab}, \] (15)

and,

\[ M_b = \sum_{j=1}^{3} (M_{Tb_j} + M_{Db_j} + M_{Gb_j}) + \sum_{k=2}^{3} (M_{Gb_k}). \] (16)

Substitution of (15) and (16) to (3) and (4) completes the nonlinear dynamic model of the VTAV [Yuan et al., 2012][Yuan and Katupitiya, 2013].

3 Sliding Mode Controller Design

Consider the following dynamic system.

\[ \dot{x}_1 = x_2 \] (17)

\[ \dot{x}_2 = f(x) + \Delta f(x) + d(x) + g(x)u \] (18)

where, \( f(x) \) is a nonlinear function, \( \Delta f(x) \) an uncertain function and \( d(x) \), an external disturbance. SMC methodology employs a discontinuous control law \( u \), which switches the control input between a couple of predefined control values such that the system under control reaches its desired states by sliding along a surface in state space represented by \( S = 0 \) which is also known as the sliding surface [Utkin, 1977][Khalil, 1996]. For a second order nonlinear dynamic system as the VTAV, the general equation of the sliding surface can be represented as,

\[ S = \lambda e + \dot{e}, \] (19)

where \( \lambda \) is a positive constant and \( e \) represented by,

\[ e = x - x_d, \] (20)

is the error between the current state, \( x \) and the desired state, \( x_d \). The control input \( u \) is designed such that the condition,

\[ SS < -\eta |S| \] (21)

is satisfied. Here, \( \eta \) is a small positive constant. Therefore, based on the dynamic system stated in (17) and (18), the general equation for control input \( u \) takes the following form.

\[ u = \frac{1}{g(x)} \left(-f(x) - \lambda \dot{e} - M \text{sign}(S)\right) \] (22)

with \( M > d_{\text{max}}(x) + \Delta f_{\text{max}}(x) \) and \( g(x) \neq 0 \)

Based on Lyaponov stability criterium, the condition stated in (21) ensures \( e \to 0 \) asymptotically [Slotine and Li, 1991], converging the system states to their desired states.
However, the dynamic model of the VTAV is a MIMO nonlinear system, with control inputs coupled nonlinearly which makes it a difficult task to directly calculate the control inputs based on SMC methodology. Therefore, in order to implement the SMC methodology on the derived nonlinear model of the VTAV, the system model described by (3) and (4) are first combined to develop the following nonlinear dynamic system.

\[
\dot{x} = f(x) + \Delta f(x) + d(x) + g(x)u \tag{23}
\]

where,

\[
\dot{x} = [\dot{v}_{x_0}, \dot{v}_{y_0}, \dot{v}_{z_0}, \dot{\omega}_{x_0}, \dot{\omega}_{y_0}, \dot{\omega}_{z_0}]^T \tag{24}
\]

and using (15) and (16),

\[
f(x) = \begin{bmatrix}
g\theta - (v_{x_0}\omega_{y_0} - v_{y_0}\omega_{z_0}) \\
g\phi\theta - (v_{y_0}\omega_{z_0} - v_{z_0}\omega_{x_0}) \\
g\phi\theta - (v_{z_0}\omega_{x_0} - v_{x_0}\omega_{y_0}) \\
\left((I_{zz} - I_{yy})\omega_{y_0}\omega_{z_0}\right) \frac{1}{I_{zz}} \\
\left((I_{xx} - I_{zz})\omega_{x_0}\omega_{z_0}\right) \frac{1}{I_{xx}} \\
\left((I_{yy} - I_{xx})\omega_{x_0}\omega_{y_0}\right) \frac{1}{I_{xx}}
\end{bmatrix} \tag{25}
\]

The vector \(g(x)\) can be represented by,

\[
g(x) = \text{diag}\left[\frac{1}{m}, 0, \frac{l}{m}, \frac{l}{I_{xx}}, \frac{e}{I_{yy}}, \frac{l}{I_{zz}}\right] \tag{26}
\]

where parameters \(e\) and \(l\) stand for the linear distances from the centre of gravity to a ducted fan, along \(x_0\) and \(y_0\) axes, respectively.

The aerodynamic drag forces and their resulting moments are determined by the external wind disturbance at a given time. As the external wind disturbance cannot be measured, it is assumed that these forces and moments act as bounded disturbances on the system. Therefore, the disturbance vector \(d(x)\) can be derived as,

\[
d(x) = \begin{bmatrix}
F_{Ab_0} + \sum_{j=1}^{3} F_{Db_{bx}} + d_1 \\
F_{Ab_y} + \sum_{j=1}^{3} F_{Db_{by}} + d_2 \\
F_{Ab_z} + \sum_{j=1}^{3} F_{Db_{bz}} + d_3 \\
\left(\sum_{j=1}^{3} (M_{r_{bx}} + M_{Db_{bx}})\right) \frac{1}{I_{xx}} + d_4 \\
\left(\sum_{j=1}^{3} (M_{r_{by}} + M_{Db_{by}})\right) \frac{1}{I_{yy}} + d_5 \\
\left(\sum_{j=1}^{3} (M_{r_{bz}} + M_{Db_{bz}})\right) \frac{1}{I_{zz}} + d_6
\end{bmatrix} \tag{27}
\]

where the values \(d_j; j = 1 \ldots 6\) represent unmodelled external disturbances.

All model parametric uncertainties are lumped into the uncertainty vector \(\Delta f(x)\) and the resulting vector is presented as follows.

\[
\Delta f(x) = [\Delta f_i(x)]^T; \quad i = 1 \ldots 6 \tag{28}
\]

Vector \(u\) contains the control inputs to the VTAV system with,

\[
u = \begin{bmatrix} u_1 & u_2 & u_3 & u_4 & u_5 & u_6 \end{bmatrix}^T \tag{29}
\]

where,

\[
u_1 = -T_2 \sin \theta_{d2} - T_3 \sin \theta_{d3}, \tag{30}
\]

\[
u_2 = 0, \tag{31}
\]

\[
u_3 = -T_1 - T_2 \cos \theta_{d2} - T_3 \cos \theta_{d3}, \tag{32}
\]

\[
u_4 = -T_2 \cos \theta_{d2} + T_3 \cos \theta_{d3}, \tag{33}
\]

\[
u_5 = 2T_1 - T_2 \cos \theta_{d2} - T_3 \cos \theta_{d3}, \tag{34}
\]

\[
u_6 = T_2 \sin \theta_{d2} - T_3 \sin \theta_{d3} \tag{35}
\]

Here, the terms \(T_1, T_2\) and \(T_3\) represent the thrust values of the three fans, and \(\theta_{d2}, \theta_{d3}\) denote the vectoring angles of the VTAV.

The variable structure control law is then derived for each subsystem using the control input vector \(u\), ensuring that the system reaches its desired states from any given initial condition of the VTAV. The introduction of vector \(u\) virtually uncouples all the dynamic equations simplifying the implementation of the sliding mode controller.

For this particular system, five separate sliding surfaces will be introduced. A given sliding surface, \(S_i\) for a particular state takes the general form defined by (19). The following assumptions are made prior to the implementation of SMC.

- All states can be directly measured or estimated using an observer.
- All external disturbances and parametric uncertainties are bounded in the following manner.

\[
|d(x)| \leq d_{\text{max}} \tag{36}
\]

\[
d_{\text{max}} = [d_{1\text{max}}, d_{2\text{max}}, \ldots, d_{6\text{max}}]^T \tag{37}
\]

\[
|\Delta f(x)| \leq \Delta f_{\text{max}} \tag{38}
\]

\[
\Delta f_{\text{max}} = [\Delta f_{1\text{max}}, \ldots, \Delta f_{6\text{max}}]^T \tag{39}
\]

### 3.1 Attitude Control

The main aim of the controller is to operate the VTAV with minimum roll and pitch movements. The stepwise approach to maintain this requirement is described from Section 3.1 onwards.

#### Yaw Control

For operations such as terrain mapping it is desirable for the VTAV to maintain zero roll and pitch angles. Furthermore, the VTAV is under actuated in the \(y_0\) direction. As such, to reach a point in the \(y_0\) direction the VTAV first needs to go through a yaw motion and then translate in the \(x_0\) direction. Therefore, the desired yaw angle for a given setpoint can be derived as,

\[
\psi_d = \tan^{-1}\left(\frac{x_{yd}}{x_{hid}}\right) \tag{40}
\]
where, $x_{rd}$ and $y_{rd}$ are the desired global $x$ and $y$ set points, respectively. Once the system reaches $\psi_d$, the position set point lies on the vehicles body $(x_b, z_b)$ plane. This way the need to translate in the under-actuated $y_b$ direction is eliminated. Based on SMC methodology, in order to reach $\psi_d$ the control input $u_6$ is derived as,

$$u_6 = u_{6eq} + u_{6disc}, \tag{41}$$

where the subscripts $eq$ and $disc$ identifies the equivalent and discontinuous components of the SMC control effort. The two components are given by,

$$u_{6eq} = \begin{bmatrix} (-\omega_{zb} + \omega_{zb})I_{zz} \\ -(I_{yy} - I_{xx})\omega_{xx}\omega_{yb}/l \end{bmatrix} \tag{42}$$

$$u_{6disc} = -M_6 \ \text{sign}(S_6)/l \tag{43}$$

where $S_6 = 0$ is the corresponding sliding surface for the yaw dynamics. $S_6$ takes the general sliding surface form represented by (19) with $\lambda = 1$. In order to satisfy (21) which ensures convergence of the system, the switching gain $M_6$ is selected such that,

$$M_6 > d_{6max} + \Delta f_{6max} \tag{44}$$

Hence, the amount of disturbance and uncertainties the controller can withstand depends on the maximum available control resources of the system.

**Pitch Control**

As the aim of the controller is to maintain minimum roll and pitch movements, the VTAV pitch angle $\theta$ should be maintained at $\theta_d = 0$ for all $t > 0$. The pitch control input,

$$u_5 = u_{5eq} + u_{5disc}, \tag{45}$$

where,

$$u_{5eq} = \begin{bmatrix} (-\omega_{yb} + \omega_{yb})I_{yy} \\ -(I_{xx} - I_{zz})\omega_{xx}\omega_{zb}/l \end{bmatrix}/e \tag{46}$$

$$u_{5disc} = -M_5 \ \text{sign}(S_5)/e \tag{47}$$

with the switching gain $M_5$ satisfying

$$M_5 > d_{5max} + \Delta f_{5max} \tag{48}$$

ensures the pitch angle of the VTAV is maintained at 0 at all times.

**Roll Control**

As mentioned earlier it is desirable to maintain the roll angle of the VTAV at $\phi = 0$ for $t > 0$. While lateral wind gusts can force the VTAV off course, in the absence of such disturbances the roll angle must be maintained at zero. If there were to be such disturbances, a non-zero transient roll angle will result through the lateral off set correction control.

In order to solve this issue the desired roll angle $\phi_d$ is defined as,

$$\phi_d = \rho e_i + \dot{e}_i \tag{49}$$

with,

$$e_i = x_{yi} - \tan(\psi_d)x_{xi} \tag{50}$$

where $x_{xi}$ and $x_{yi}$ are measured distances along global $x_i$ and $y_i$ directions, respectively. $\rho$ is a positive constant. As a result $e_i = 0$ when the VTAV heading is in the correct direction. Consequently, when the vehicle drifts laterally due to winds in $y_b$ direction, the value of $e_i$ becomes non-zero, resulting in $\phi_d \neq 0$. The result obtained for $\phi_d$ is then applied to the general sliding surface for roll angle in the following manner.

$$S_4 = \lambda_4[\phi - (-\phi_d)] + [\omega_{zb} - \omega_{zb}] \tag{51}$$

To summarize, the desired roll angle is 0 when undesired translations in $y_b$ direction is zero. Whenever there is a sudden lateral wind gust the desired roll angle becomes non-zero as shown in (49). Therefore, the final control input for roll dynamics would be,

$$u_4 = u_{4eq} + u_{4disc} \tag{52}$$

$$u_{4eq} = \begin{bmatrix} (-\omega_{zb} - \phi_d)I_{yy} \\ (I_{zz} - I_{xy})\omega_{yp}\omega_{zb}/l \end{bmatrix} \tag{53}$$

$$u_{4disc} = -M_4 \ \text{sign}(S_4)/l \tag{54}$$

with,

$$M_4 > d_{4max} + \Delta f_{4max} \tag{55}$$

**3.2 Position Control**

The nonlinear dynamic equations of the VTAV is derived with respect to the vehicle body coordinate system. Therefore, the desired position setpoints need to be transformed to the VTAV body coordinate system as well.

$$\begin{bmatrix} x_{xb} \\ y_{xb} \\ z_{xb} \end{bmatrix} = R^T \begin{bmatrix} x_{rd} \\ y_{rd} \\ z_{rd} \end{bmatrix}, \tag{56}$$

where, $[x_{rd}, y_{rd}, z_{rd}]$ is the desired global position coordinate which the vehicle need to reach in finite time. Control inputs $u_1$ and $u_3$ are responsible for tracking $x_{rd}$ and $z_{rd}$, respectively. Implementation of SMC methodology on the 1st and 3rd rows of the dynamic system stated in (23) yields,

$$u_1 = u_{1eq} + u_{1disc} \tag{57}$$

$$u_{1eq} = \begin{bmatrix} (-v_{xb} + v_{xa}) + gs \cos \theta \cos \phi \\ (v_{yb}\omega_{xb} - v_{yb}\omega_{yb})m \end{bmatrix} \tag{58}$$

$$u_{1disc} = -M_1 m \ \text{sign}(S_1), \tag{59}$$

and

$$u_3 = u_{3eq} + u_{3disc} \tag{60}$$

$$u_{3eq} = \begin{bmatrix} (-v_{za} + v_{za}) - g \cos \theta \cos \phi \\ (v_{yb}\omega_{xb} - v_{xa}\omega_{yb})m \end{bmatrix} \tag{61}$$

$$u_{3disc} = -M_3 m \ \text{sign}(S_3), \tag{62}$$
where, for reasons similar to those derived for (44),

\[
M_1 > d_{1_{\text{max}}} + \Delta f_{1_{\text{max}}}
\]
\[
M_3 > d_{3_{\text{max}}} + \Delta f_{3_{\text{max}}}
\]

(63)

(64)

The vehicle is under actuated in \( y_\theta \) direction. However, the limitations caused by the under actuation was reduced by the yaw control mechanism implemented in Section 3.1. The yaw control derived in (40) makes sure that the final desired position of the VTAV always lies on the vehicle \( x_\theta - z_\theta \) plane. Furthermore, the controlled roll movement derived in (52) compensates for any disturbances along \( y_\theta \) direction ensuring that the VTAV maintains its desired path.

After obtaining the virtual control inputs, the next step is to derive the actual control inputs to the system. The actual control input to the system consists of three thrust inputs \( (T_1, T_2, T_3) \) and two vectoring inputs \( (\theta_{d2}, \theta_{d3}) \). Solving the nonlinear equation set (30)-(35) returns the actual control inputs to be applied to the VTAV. Hence, the final controls for the thrusts and vectoring angles are,

\[
T_1 = \frac{u_1 - u_3}{3}
\]

\[
T_2 = 0.5 \sqrt{(u_6 - u_1)^2 + \left(\frac{-u_5 - 2u_3 - 3u_4}{3}\right)^2}
\]

\[
T_3 = 0.5 \sqrt{(u_6 + u_1)^2 + \left(\frac{-u_5 - 2u_3 + 3u_4}{3}\right)^2}
\]

(65)

(66)

(67)

\[
\theta_{d2} = \tan^{-1}\left(\frac{3(u_1 - u_6)}{u_5 + 2u_3 + 3u_4}\right)
\]

\[
\theta_{d3} = \tan^{-1}\left(\frac{3(u_1 + u_6)}{u_5 + 2u_3 - 3u_4}\right)
\]

(68)

(69)

The corresponding propeller speed can be calculated through (6).

### 3.3 Actuator Dynamics and Chattering

The VTAV has three brushless DC motors to drive the fans and two servo motors for vectoring the thrusters. The dynamics of these actuators needs to be taken into consideration when developing a practically applicable controller. The actuator dynamics can be approximated as,

\[
G_{acti} = \frac{\alpha_i}{\tau_i s + 1}
\]

(70)

where, \( \alpha_i \) and \( \tau_i \) represent the gain and time constant of the \( i \)th actuator. The control inputs derived in Section 3 could excite these actuator dynamics and induce chattering [Young et al., 1999][Utkin et al., 2009], owing to the high frequency discontinuous switching of the control inputs. In order to eliminate chattering, the \( \text{sign}(S) \) term is replaced with a saturation function, \( \text{sat}(S) \). The \( \text{sat}(S) \) function confines the system to a boundary layer around the sliding surface, while eliminating the undesired chattering effect. The \( \text{sat}(S) \) function is defined as,

\[
\text{sat}(S) = \begin{cases} 
\frac{S}{\beta} & \text{for } |S| < \beta \\
\text{sign}(S) & \text{for } |S| \geq \beta
\end{cases}
\]

(71)

where \( \beta \) is the width of the boundary layer.

### 4 Simulation Results

Simulations were carried out to verify the performance of the proposed sliding mode controller. As the designed controller will be implemented in a digital system, the discretization effect introduced by such systems was also taken into consideration, with a sampling time of 10 ms. Actuator dynamics introduced in Section 3.3 were also included in the final dynamic model. Two test cases under different conditions were chosen to investigate the performance of the controller.

VTAV parameters used for the simulations are shown in Table 1.

<table>
<thead>
<tr>
<th>Parameter(unit)</th>
<th>Value</th>
<th>Parameter(unit)</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>( m ) [kg]</td>
<td>2.0</td>
<td>( d ) [m]</td>
<td>0.231</td>
</tr>
<tr>
<td>( g ) [m/s^2]</td>
<td>9.81</td>
<td>( e ) [m]</td>
<td>0.1155</td>
</tr>
<tr>
<td>( I_d ) [kgm^2]</td>
<td>5.0 x 10^{-5}</td>
<td>( f ) [m]</td>
<td>0.2</td>
</tr>
<tr>
<td>( I_{xx} ) [kgm^2]</td>
<td>0.0229</td>
<td>( \alpha_1 = \alpha_2 = \alpha_3 = 41.2 )</td>
<td></td>
</tr>
<tr>
<td>( I_{yy} ) [kgm^2]</td>
<td>0.1279</td>
<td>( \alpha_4 = \alpha_5 = 1 )</td>
<td></td>
</tr>
<tr>
<td>( I_{zz} ) [kgm^2]</td>
<td>0.0917</td>
<td>( \tau_s = \tau_2 = \tau_3 = 0.03 )</td>
<td></td>
</tr>
<tr>
<td>( C_d )</td>
<td>5 x 10^{-4}</td>
<td>( \tau_s = \tau_4 = 0.02 )</td>
<td></td>
</tr>
<tr>
<td>( C_t )</td>
<td>1.8 x 10^{-4}</td>
<td>( A_{zh} = A_{zh} = 2.0 x 10^{-5} )</td>
<td></td>
</tr>
</tbody>
</table>

| \( A_{zb} \)           | \( A_{zb} \) |

#### 4.1 Test 1: Attitude test

One of the main advantages of a nonlinear control methodology over its linear counterpart is its ability to handle a system over a wide range of operating points. In order to test this condition the initial attitude of the VTAV was set to \( \Phi = \theta = \Psi = 57.3^\circ \). The aim of the controller is to bring the VTAV to its zero attitude position in the presence of external wind disturbances and parametric uncertainties, whilst maintaining the vehicle’s global position stationary as well. For this test, an external wind vector of \( W_t = [3 \ 0 \ 2]^T \) m/s was introduced to the simulation coming into effect at \( t = 0 \) s. It is also assumed that the measured inertia values have a maximum error of 10% with respect to the actual values.

The results are presented in Figure 4 and Figure 5. The VTAV attitude is corrected in finite time in the presence of external wind disturbances and parametric uncertainties as shown in Figure 4. The global position also returns good results as shown in Figure 5 with a maximum error of 3 cm in \( z_\theta \) direction due to the saturation function introduced. If \( \text{sat}(S) \) is replaced with \( \text{sign}(S) \) the error disappears albeit with undesirable chattering.
4.2 Test 2: Position test

The ability of the controller to track down a given set-point in the presence of wind disturbances and parametric uncertainties was evaluated next. The external disturbances and parametric uncertainties applied to the system are as follows.

- A continuous wind of magnitude 3 m/s along $x_i$.
- A continuous wind of magnitude 2 m/s along $y_i$.
- The wind gust along $y_i$ increases by 1 m/s during the time duration of $t = 9$ sec. to $t = 11$ sec.
- The parametric uncertainty of $I_{xx}, I_{yy}, I_{zz}$ and $C_d$ are represented by a 10% variation of their values.

The desired position was provided as $x_{id} = [5 3 -4]^T$. Results show that the system performs well under these conditions. In order to track the desired global $y_i$ coordinate, $x_{y.id}$, the VTAV goes through a yaw motion from $t = 0s$ to $t = 3.38s$ as defined by (40). Due to the relative air velocity in $y_b$ direction, the vehicle creates a matching roll motion as defined by (49). It is important to note that the roll motion occurs only when a relative air velocity in the $y_b$ direction exists. The roll motion adjusts again as illustrated in Figure 6, when the wind velocity increases during $t = 9s$ to $t = 11s$. As a result the VTAV maintains its stability and tracks the trajectory with accuracy as presented in Figure 7.

The corresponding propeller speeds and vectoring angles are shown in Figure 8. Note that the vectoring angles $\theta_{d2}, \theta_{d2} \neq 0$ at the end of the simulation in
order to keep the vehicle stationary in the presence of external disturbances. The small oscillations which exist for a short duration on Fig. 8 at \( t = 3.38 \) s and \( t = 5.78 \) s are due to the gyroscopic moments of the VTAV. However, the controller successfully compensates the effects of such factors whilst maintaining the stability of the system.

5 Conclusions

The paper presented the control of a vectored thrust aerial vehicle that is designed for special purposes such as terrain mapping which will significantly benefit from the ability to fly with zero roll and pitch. Such an arrangement will enable the sensors to be reliably directed at the desired terrain patch. Given that the system is nonlinear and that there is the possibility of parametric uncertainties and the presence of disturbances, sliding mode control design approach was chosen to develop robust controllers. The designed controllers were tested under different test conditions and it was demonstrated that the controllers operated well. The results further suggest that the controllers perform well even with digital implementation provided that the control parameters are tuned properly.

References


[Yu et al., 2010] Yali Yu, Changhong Jiang, and Haiwei Wu. Backstepping control of each channel for a quadrotor aerial robot. In 2010 International Conference on Computer, Mechatronics, Control and
